

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/164,568	10/01/1998	RANDOLPH J. NOELLE	012712-572	6823	
7278	7590 07/05/2007		EXAM	EXAMINER	
DARBY & I					
Church Street Station			ART UNIT	PAPER NUMBER	
New York, N	Y 10008-0770	•			

DATE MAILED: 07/05/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) NOELLE ET AL. Notification of Non-Compliant Appeal Brief 09/164,568 (37 CFR 41.37) Examiner **Art Unit** Phillip Gambel 1644 --The MAILING DATE of this communication appears on the cover sheet with the correspondence address-The Appeal Brief filed on 26 February 2007 is defective for failure to comply with one or more provisions of 37 CFR 41.37. To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136. The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper 1. 🔯 heading or in the proper order. The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)). At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)). (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent 4. 🛛 claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)). 5. The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)) 6. The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)). The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 7. 41.37(c)(1)(viii)). The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any 8. other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)). 9. The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x). 10. Other (including any explanation in support of the above items):

PHILLIP GAMBEL, PH.D 50 PRIMARY EXAMINER 6/25/07

See Continuation Sheet.

Continuation of 10. Other (including any explanation in support of the above items):

The content under the heading "SUMMARY OF CLAIMED SUBJECT MATTER" contained in the Appeal Brief filed on February 26, 2007 does not provide a sufficient summary of the independent claims involved in the appeal, which, for each independent claim involved in the appeal, shall refer to the specification by page and line number, and to the drawing, if any, by reference characters. See 37 CFR § 41.37(c)(1)(v). In particular, the appellant did not map the claims to the specification.

Correction is required. MPEP § 1205.03 states:

When the Office holds the brief to be defective solely due to appellant's failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v), an entire new brief need not, and should not, be filed. Rather, a paper providing a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v) will suffice. Failure to timely respond to the Office's requirement will result in dismissal of the appeal. See MPEP § 1215.04 and §711.02(b).